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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/515,809	02/29/2000	Steve Trong	CISCO-1937	9991

7590 02/28/2005
 Timothy A Brisson
 Sierra Patent Group Ltd
 PO Box 6149
 Stateline, NV 89449

EXAMINER

BROWN, CHRISTOPHER J

ART UNIT	PAPER NUMBER
2134	

DATE MAILED: 02/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/515,809

Applicant(s)

TRONG, STEVE

Examiner

Christopher J Brown

Art Unit

2134

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12/06/2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3,5-9,11,13 and 14 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,3,5-9,11,13 and 14 is/are rejected.
- 7) ☒ Claim(s) 1 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Arguments

1. Previous arguments have been considered, but are moot in view of new grounds of rejection.

Claim Objections

2. Claim 1 is objected to because of the following informalities:

Claim 1 lines 8 and 9, repeat lines 6-7. The Examiner believes lines 8 and 9 should read “storing said corresponding base layer with said unique connection identifier therein within said checkpoint server”.

Appropriate correction is required.

Claims 1, and 9, the last 4 lines, are very confusing to interpret. The examiner believes a better interpretation of the claim might be “ wherein checkpointing is a process of storing critical data regarding the state of the connection though the router, wherein the connection is re-established using the checkpointed data.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, and 9 are rejected under 35 U.S.C. 102(b) as being anticipated by Housel III US 5,907,678.

As per claims 1 and 9,

Housel III teaches a communication system having a checkpointing server, (Col 14 lines 15-33). Housel III teaches having unique identifiers, (Col 16 lines 35-39). Housel III teaches determining whether there has been a change of state for an existing connection, (Col 14 lines 15-22). Housel teaches checkpointing data with said unique identifier and using checkpointed data to re-establish connection in said communication system, (Col 14 lines 20-33). Housel teaches that a router is part of the communications network, (Col 1 line 35).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Claims 3, 5-8, 11, 13, and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Housel III US 5,907,678 in view of Friedman US 6,151,679

As per claims 5, 6, 7, and 13, Housel III teaches a communication system having a checkpointing server, (Col 14 lines 15-33). Housel III teaches having unique identifiers, (Col 16 lines 35-39). Housel III teaches determining whether there has been a change of state for an existing connection, (Col 14 lines 15-22). Housel teaches checkpointing data with said unique identifier and using checkpointed data to re-establish connection in said communication system, (Col 14 lines 20-33). Housel teaches that a router is part of the communications network, (Col 1 line 35).

Housel does not teach firewalls. Housel III does not teach encoding said checkpoint data. Friedman teaches the use of routers as firewalls in a communications system, (Col 4 lines 8-13). Friedman teaches encrypting data through the router, (Col 4 lines 13-16).

It would have been obvious to one of ordinary skill in the art to encrypt the checkpointed data so that it was protected from tampering, thus enhancing system security.

As per claims 3, 6, 8, 11, and 14, Housel teaches recovering checkpointed data to reestablish a connection, (Col 14, lines 20-33). Housel teaches use of a router in communications, (Col 1 line 35). Housel does not teach firewalls.

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Friedman teaches the use of routers as firewalls in a communications system, (Col 4 lines 8-13).

It would have been obvious to one of ordinary skill in the art to use the firewall router of Friedman in the checkpoint system of Housel to protect clients from hackers and viruses.

Conclusion

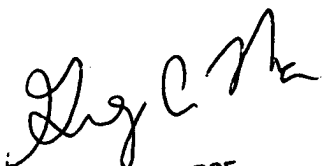
4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher J Brown whose telephone number is (571)272-3833. The examiner can normally be reached on 8:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gregory Morse can be reached on (571)272-3838. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Christopher J. Brown

2/12/05



GREGORY MORSE
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100